

Eligibility and Capability Application Form

Settlement Negotiations Process



Please complete this form to apply to become a Crown Forestry Rental Trust (the Trust) Approved Client for the Settlement Negotiations process.

Trustees will make a decision on whether or not the Eligibility and Capability Criteria has been met and whether or not to approve an Applicant Group as a Trust Approved Client.

Only Approved Clients may apply for Trust funding.

The Applicant Group is encouraged to meet with Trust staff to ask any questions before completing this application form.

Section A: Name and Contact Details

Name of the Applicant Group

Street Address

Suburb/City

Post Code

Postal Address

Name (person sending the application)

What is your role with the Applicant Group?

Phone Number

E-Mail Address

General location of the Applicant Group or its general area of interest (if known).

If you have a map of the Applicant Group's area of interest, please attach this to your application.

Section B: Eligibility Criteria

An Applicant Group for the Settlement Negotiations process must meet the following Eligibility Criteria:

1. Registered CFLL Wai claim

An Applicant Group must have registered a claim with the Waitangi Tribunal, which involves or could involve Crown Forest Licensed Land.

2. Mandate Representation

An Applicant Group must:

(a) have a Crown recognised mandate to negotiate a settlement of its historical claims;

OR

(b) represent a LNG* which has a comprehensive, realistic and achievable plan to develop a Mandate Strategy which is supported by the Office of Treaty Settlements (OTS) and has been confirmed by the Crown.

3. Engaged in Settlement Negotiations process

An Applicant Group must:

(a) be ready to engage in settlement negotiations and the Crown has acknowledged its readiness to engage with the Applicant Group;

OR

(b) be actively engaged in settlement negotiations with the Crown and satisfies at least one of the following indicators.

Indicators of active engagement in settlement negotiations with the Crown includes:

(a) The Applicant Group and the OTS have an agreed work plan for the current settlement negotiation phase.

(b) The Applicant Group can demonstrate regular negotiations meetings with OTS and progress towards achieving a settlement.

* LNG is a term used by the Crown. Large Natural Groups (LNGs) are communities with a common ancestry. LNGs are known as claimant groups, and can be made up of a single iwi, a group of iwi, a collection of hapū from the same geographical area.

Mandatory Requirements

Do you have a registered claim(s) with the Waitangi Tribunal?

Yes
No

Please list the Wai claim numbers that the Applicant Group represents.

Of the Wai claims listed above which have a claim to a Crown Forest Licensed Land?

Does the Applicant Group have a Crown recognised Mandate?

Yes
No

OR

Does the Applicant Group have a plan to develop a Mandate Strategy that is confirmed by the Crown?

Yes
No

If you have answered 'No' to any of the questions in the Mandatory Requirements section, the Applicant Group is not eligible to become a Trust Approved Client.

Documentary evidence to support the Applicant Group's Eligibility Criteria may include:

Tick all documents included as supporting evidence for the Applicant Group's Eligibility

- Written confirmation from the Crown acknowledging the Applicant Group as an LNG
- Written confirmation from OTS supporting the Applicant Group's mandate plan
- Written confirmation from OTS endorsing the Applicant Group's Mandate Strategy
- Applicant Group's Deed of Mandate
- Written confirmation of the Crown's recognition of Applicant Group's Deed of Mandate
- An agreed workplan between the Applicant Group and OTS

Have you, or anyone from the Applicant Group, applied for Trust funding?

Yes
No

If no, go to Section C.

If yes, and the application was successful, for what purpose did you receive funding?

If yes, and the application was not successful, why was it declined?

Section C: Capability Criteria

An Applicant Group must demonstrate the governance, management and financial capability to manage the work required to prepare and negotiate a settlement of its claims with the Crown, and to receive and appropriately manage Trust funding.

An Applicant Group’s capability will be assessed using the following Capability Criteria:

1. Appropriate governance structures, functions and policies that demonstrate accountability;
2. Appropriate management structures, functions and policies that demonstrate reporting;
3. Appropriate financial management and systems to receive and manage Trust funding;
4. Processes that enable regular communications of progress;
5. Processes that enable the appointment, removal and succession of governance representatives;
6. Processes that provide for recording of meetings, decisions, dispute resolution; and
7. Processes that provide for risk management; in particular an annual independent audit of financial management and systems.

Is the Applicant Group applying on behalf of a legal entity?	Yes No	If yes, what type of entity?
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Documentary evidence to support the Applicant Group’s Governance and Management Capability may include:

Tick all documents included as supporting evidence for the Applicant Group's Governance and Management Capability.

- Constitution or Rules of Entity
 - Legal Status Certificate (if a legal entity)
 - Delegations Policy and Role Descriptions (include an organisational chart)
 - Policy and Procedure Manual (including: Health & Safety, Dispute Resolution, Human Resources and Risk Management policies)
 - Strategic / Annual Plan
 - Communications Strategy / Plan
 - Project / Business Plan
 - Annual Report
 - Other
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Documentary evidence to support the Applicant Group's Financial Management Capability may include:

Tick all documents included as supporting evidence for the Applicant Group's Financial Management Capability.

Financial Management Policies (including a system to receive and manage Trust funding)

Annual Budget

Financial Reports (including recent budget and expenditure statements)

Audit Report

Independent Audited Accounts

Other

Provide details on who will be managing the Applicant Group's financial services.

What accounting package will the Applicant Group be using to manage its income and expenditure?

Section D: Declaration

The details I/we have given in this application are true and correct to the best of my/our knowledge.

I/we understand that there is no guarantee that Approved Client status will be approved by Trustees.

Name

Signature

Date

If you achieve Approved Client Status, you will be eligible to apply for funding from the Trust for the purpose of the Settlement Negotiations process.

Gaining a Trust Approved Client Status does not guarantee that you will receive Trust funding.
